

#4



Attorney Docket No. P4803
Client Matter No. 80168.0097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William J. Young, Tricia M. Roush, Bruce R. Geerdes

Serial No. 09/740,212

Filed: December 15, 2000

For: SYSTEM FOR INTERFACING AN APPLICATION
PROGRAM WITH DIVERSE DATABASES

Group Art Unit: 2171

Examiner:

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Commissioner for Patents
Washington, D.C. 20231

**POWER OF ATTORNEY BY ASSIGNEE AND
REVOCATION OF PREVIOUS POWERS**

Sun Microsystems, Inc., assignee of the entire right, title and interest in the above-identified application, hereby revokes all previous powers of attorney in the above-identified application and hereby grants power of attorney to the registered practitioners of Hogan & Hartson, LLP included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

All correspondence should be addressed to the Customer Number provided below:

Customer Number: 25235



Assignee appoints William J. Kubida, Reg. No. 29,664; Stuart T. Langley, Reg. No. 33,940; Matthew Bailey, Reg. No. 33,829; Carol W. Burton, Reg. No. 35,465; Celine Crowson, Reg. No. 40,357; Alfred A. D'Andrea, Jr., Reg. No. 27,752; Wei-Fu Hsu, Reg. No. 45,723; David Lubitz, Reg. No. 38,229; Stuart Lubitz, Reg. No. 20,680; Sarah S. O'Rourke, Reg. No. 41,226; Steven C. Petersen, Reg. No. 36,238; John P. Scherlacher, Reg. No. 23,009; William H. Wright, Reg. No. 36,312; Wei-Ning Yang, Reg. No. 38,690; Kent A. Lembke, Reg. No. 44,866; J. Michael Thesz, Reg. No. 40,354; Naomi Voegtli, Reg. No. 44,371; Ray Aust, Reg. No. 39,590; Michael L. Crapenhof, Reg. No. 37,115; Ajit Vaidya, Reg. No. 43,214; Sterlon Mason, Reg. No. 41,179; Kevin Shaw, Reg. No. 43,110; Lawrence McClure, Reg. No. 44,228; Ronald C. Gorsche, Reg. No. 45,505; Peter J. Meza, Reg. No. 32,920; David D. Nelson, Reg. No. 47,818; William Nixon, Reg. No. 44,262; and Stephen B. Parker, Reg. No. 36,631 of Hogan & Hartson LLP; and Kenneth Olsen, Reg. No. 26,493; Timothy J. Crean, Reg. No. 37,116; Alexander E. Silverman, Reg. No. 37,940; Anirma R. Gupta, Reg. No. 38,275; Sean

P. Lewis, Reg. No. 42,798; Michael J. Schallop, Reg. No. 44,319; Bernice B. Chen, Reg. No. 42,403; Noreen A. Krall, Reg. No. 39,734; Monica D. Ward, Reg. No. 40,696; Marc D. Foodman, Reg. No. 34,110; Naren Chaganti, Reg. No. 44,602; Elaine Lee, Reg. No. 41,936; Hugh H. Matsubayashi, Reg. No. 43,779; Paul D. Sorkin, Reg. No. 39,039; Ramin Aghevli, Reg. No. 43,462; Marilyn E. Glaubenskleee, Reg. No. 35,521; Andrew C. Chen, Reg. No. 43,544; Jeffrey L. Myers, Reg. No. 44,252; Pavel Pogodin, Reg. No. P48,205; of Sun Microsystems, Inc. to prosecute this application and transact all business in the Patent and Trademark Office.

All telephone calls should be directed to William J. Kubida at 719-448-5909.

Statement Under 37 CFR § 3.73(b)

Sun Microsystems, Inc. states that it is the assignee of the entire right, title and interest in the above-identified application by virtue of an assignment from the inventor(s) which was recorded in the Patent and Trademark Office at Reel (011392), Frame (0691), or as shown on the copy of the assignment enclosed herewith.

The undersigned (which title is supplied below) is empowered to sign this statement on behalf of the assignee.

ASSIGNEE:

Date: September 18, 2001

SUN MICROSYSTEMS, INC.

By: 

Name: Kenneth Olsen, Reg. No. 26,493
Title: Vice President of Intellectual Property
Address: 901 San Antonio Road
MS PAL01-521
Palo Alto, CA 94303



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 20, 2001

HOGAN & HARTSON LLP
WILLIAM J. KUBIDA, ESQ.
1200 17TH STREET, SUITE 1500
DENVER, CO 80202

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CORRECTED
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/20/2000

REEL/FRAME: 011392/0691
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YOUNG, WILLIAM J.

DOC DATE: 12/05/2000

ASSIGNOR:

ROUSH, TRICIA M.

DOC DATE: 12/06/2000

ASSIGNOR:

GEERDES, BRUCE R.

DOC DATE: 12/05/2000

ASSIGNEE:

SUN MICROSYSTEMS, INC. A DELAWARE
CORPORATION
901 SAN ANTONIO ROAD MS PALO1-521
PALO ALTO, CALIFORNIA 94303

SERIAL NUMBER: 09740212
PATENT NUMBER:

FILING DATE: 12/15/2000
ISSUE DATE:

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AUG 24 2001

HOGAN & HARTSON, LLP

011392/0691 PAGE 2

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

2471



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Assistant Commissioner for Patents
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Sir:

The undersigned hereby certifies that the following documents:

1. Power of Attorney by Assignee and Revocation of Previous Powers;
2. Certificate of Mailing By Express Mail;
3. Return Postcard.

relating to the above application, were deposited as "First Class Mail", with the United States Postal Service, addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231, on 26 Sept. 2001

26 September 2001
Date

26 September 2001
Date

Mailer


William J. Kubida, Reg. No. 29,664
HOGAN & HARTSON_{LLP}
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

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Washington, D.C. 20231

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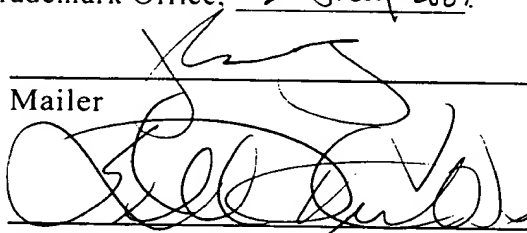
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3. Executed Assignment;
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5. Return postcard

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to the U.S. Patent and Trademark Office, 30 July 2001.

30 July 2001
Date

30 July 2001
Date

Mailer


William J. Kubida, Reg. No. 29,664
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MARCH 15, 2001

HOGAN & HARTSON LLP
WILLIAM J. KUBIDA, ESQ.
1200 17TH STREET, SUITE 1500
DENVER, CO 80202

PTAS



101573179A

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ASSIGNEE:
SUN MICROSYSTEMS, INC. A DELAWARE
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901 SAN ANTONIO ROAD MS PALO1-521
PALO ALTO, CALIFORNIA 94303

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Conveying Party(ies)

Name (line 1) Young, William J. Execution Date
Month Day Year
12/05/00
Name (line 2)
Second Party
Name (line 1) Roush, Tricia M. Execution Date
Month Day Year
12/06/00
Name (line 2)
Third Party
Name (line 1) Geerdes, Bruce R. Execution Date
Month Day Year
12/05/00
Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Sun Microsystems, Inc.
Name (line 2) a corporation
Address (line 1) 901 San Antonio Road
Address (line 2) MS PAL01-521
Address (line 3) Palo Alto CA/USA 94303
City State/Country Zip Code

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Correspondent Name and Address

Area Code and Telephone Number

(719) 448-5909

Name (line 1) William J. Kubida, Esq.

Address (line 1) Hogan & Hartson LLP

Address (line 2) 1200 17th Street, Suite 1500

Address (line 3) Denver, CO 80202

Address (line 4)

Pages

Enter the total number of pages of the attached
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6

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/740,212

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the
date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application
number only if a U.S.

PCT

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Application Number has not
been assigned

PCT

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Number of Properties

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Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

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Method of payment:

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Deposit Account

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Deposit Account Number:

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Authorization to charge additional fees:

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Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document. Charges to deposit account are authorized, as indicated
herein.*

William J. Kubida, 29,664

Name of Person Signing



Signature

30 July 2001

Date

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Correspondent Name and Address

Area Code and Telephone Number

(719) 448-5909

Name (line 1) William J. Kubida, Esq.

Address (line 1) Hogan & Hartson LLP

Address (line 2) 1200 17th Street, Suite 1500

Address (line 3) Denver, CO 80202

Address (line 4)

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Month Day Year

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Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned

PCT

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PCT

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PCT

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50-1123

Authorization to charge additional fees:

Yes

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No

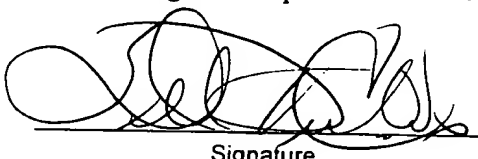
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William J. Kubida, 29,664

Name of Person Signing



Signature

15 DEC 00

Date

ASSIGNMENT

WHEREAS, we, William J. Young, having a residence address of 26069 Highway 72, Golden, CO 80403; Tricia M. Roush, having a residence address of 3694 S. Ceylon Way, Aurora, CO 80013; and Bruce R. Geerdes, having a residence address of 9815 Iris St., Westminster, CO 80021, have made a certain new and useful invention relating to a SYSTEM FOR INTERFACING AN APPLICATION PROGRAM WITH DIVERSE DATABASES, for which we are making or have made application for Letters Patent of the United States, said application being identified by Docket No. P4803 and Client/Matter No. 80168.0097 in the law firm of Hogan & Hartson, L.L.P. One Tabor Center, 1200 Seventeenth Street, Suite 1500, Denver, Colorado, 80202, and which is executed concurrently herewith, and

WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, Sun Microsystems, Inc., hereinafter ASSIGNEE, a Delaware corporation, whose post office address is 901 San Antonio Road, MS PAL01-521, Palo Alto, CA 94303, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefor;

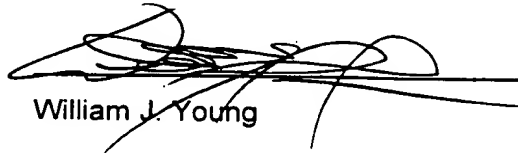
NOW THEREFORE, upon consideration of our employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, we do hereby sell and assign unto ASSIGNEE and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, and inventor's certificates, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

UPON SAID CONSIDERATION, we do hereby covenant with ASSIGNEE that we will not execute any writing or do any act whatsoever conflicting with this assignment, and that we will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or desirable to perfect ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining original, continuation, continuation-in-part, divisional, reexamined, renewal, reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or

transactions involving such applications, certificates, or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date hereinafter set forth.

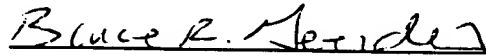
Date: 5 December 2000


William J. Young

Date: _____

Tricia M. Roush

Date: 12/5/00


Bruce R. Geerdes

A S S I G N M E N T

WHEREAS, we, William J. Young, having a residence address of 26069 Highway 72, Golden, CO 80403; Tricia M. Roush, having a residence address of 3694 S. Ceylon Way, Aurora, CO 80013; and Bruce R. Geerdes, having a residence address of 9815 Iris St., Westminster, CO 80021, have made a certain new and useful invention relating to a SYSTEM FOR INTERFACING AN APPLICATION PROGRAM WITH DIVERSE DATABASES, for which we are making or have made application for Letters Patent of the United States, said application being identified by Docket No. P4803 and Client/Matter No. 80168.0097 in the law firm of Hogan & Hartson, L.L.P. One Tabor Center, 1200 Seventeenth Street, Suite 1500, Denver, Colorado, 80202, and which is executed concurrently herewith, and

WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, Sun Microsystems, Inc., hereinafter ASSIGNEE, a Delaware corporation, whose post office address is 901 San Antonio Road, MS PAL01-521, Palo Alto, CA 94303, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefor;

NOW THEREFORE, upon consideration of our employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, we do hereby sell and assign unto ASSIGNEE and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, and inventor's certificates, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

UPON SAID CONSIDERATION, we do hereby covenant with ASSIGNEE that we will not execute any writing or do any act whatsoever conflicting with this assignment, and that we will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or desirable to perfect ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining original, continuation, continuation-in-part, divisional, reexamined, renewal, reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or

transactions involving such applications, certificates, or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date hereinafter set forth.

Date: _____

William J. Young

Date: Dec 16, 2000

Tricia M. Roush
Tricia M. Roush

Date: _____

Bruce R. Geerdes